

Banking Gatekeeping for MSO Licensees in Hong Kong: Navigating AML, Nesting Risks, and Regulatory Asymmetries

Programme Code: THRC00P27012
20 January 2027 (Wednesday)
7:00pm – 10:00pm



Programme Outline

Objective: Provide banking professionals with a practical framework to assess, onboard, monitor, and manage MSO licensees, with focus on AML/CFT risks, nesting exposure, regulatory gaps, and varied MSO business models.

Session 1: Regulatory Landscape and Nesting

- MSO regulation under AMLO/C&ED vs HKMA bank supervision
- Diversity of MSO business models and risk profiles
- FATF and HKMA expectations on nested relationships
- Gatekeeping role of banks and impact of the Stablecoin Ordinance

Session 2: Onboarding and Risk Assessment

- Business model analysis across FX, remittance, payments, crypto, and hybrid MSOs
- Licensing, legal verification, and independent legal opinions
- AML/CFT control assessment and personnel quality
- Common red flags, abusive practices, and practical onboarding scenarios

Session 3: Crypto, Stablecoins, and Cross-Boundary Risks

- Regulatory grey areas and foreign-platform risks
- Crypto/stablecoin typologies, KYT limitations, and evasion tactics
- Mitigation strategies by MSO mode

Session 4: Monitoring, Governance, and Preparedness

- KRIs, triggers, escalation, remediation, and offboarding
- Internal governance and HKMA review readiness
- MSO relationship management maturity model

Speaker Introduction

The speaker is the former Chief Executive of a licensed SVF, with extensive experience in financial services. He has served as Head of Financial Crime Compliance and held various compliance positions at multinational banks and regulatory bodies, building a strong background in financial crime compliance and executive leadership.

He is a Certified Anti-Money Laundering Specialist (CAMS), Certified AML Professional (CAMLPA) and Fellow of the Hong Kong Securities and Investment Institute (FHKSI). His academic credentials include master's degrees in Law (LLM, CUHK), Banking (MSc, CityU), and Asian Studies (MA, HKU) and bachelor's degrees in Public and Social Administration (BA(Hons), CityU) and Law (LLB, MMU, UK).

Target Audience

1. Banking Practitioners
2. Compliance Officers, IT Professionals
3. HKIB Professional Qualification Holders (eligible for CPD Core Hours):



Programme Delivery

Virtual Classroom (Zoom)
Cantonese

Application DEADLINE

13 January 2027 (Wednesday)

PRICE LIST	Individual Member	Staff of Corporate Member	Non-Member
Price	HKD990	HKD1,200	HKD1,320

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